



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/820,071

04/07/2004

Alana King

49335.2700

7229

7590

04/20/2007

Howard Sobelman, Esq.
Snell & Wilmer L.L.P.
One Arizona Center
400 E. Van Buren
Phoenix, AZ 85004-2202

EXAMINER

LIU, I JUNG

ART UNIT

PAPER NUMBER

3691

| SHORTENED STATUTORY PERIOD OF RESPONSE | MAIL DATE | DELIVERY MODE |
|--|-----------|---------------|
|--|-----------|---------------|

3 MONTHS

04/20/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

10/820,071

Applicant(s)

KING ET AL.

Examiner

Marissa Liu

Art Unit

3691

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 4/7/2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Claim Objections

1. Claim 9 and 19 are objected to because of the following informalities: "form the user".
Appropriate correction is required.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

2. Claims 1-22 are rejected under 35 U.S.C. 102(a) as being unpatentable by Scolini et al.,
Pub. No.: US 2003/0233321 A1 (PTO 892 form A).

3. As per claim 1, Scolini et al. teaches a method for generating account statements for
batch printing, comprising:

grouping a plurality of accounts for which account statements are to be generated in a
batch printing run (§ 1221; § 1230);

receiving account data for each of the plurality of accounts, the account data for use in
generating at least one account statement for each of the plurality of accounts (§ 0903; § 0912; §
0920);

presenting, to a user, a plurality of reporting formats that are available to be assigned to at
least one of the plurality of account statements, each of the plurality of reporting formats
defining a printed layout of the account data for the account statements (§ 0616; § 0842; §
1350);

receiving, from the user, a selection of a first account from the plurality of accounts, and a selection of a first reporting format from the plurality of reporting formats for the first account (§ 0273; § 0636; § 0642);

receiving, from the user, a selection of a second account from the plurality of accounts, and a selection of a second reporting format from the plurality of reporting formats for the second account (§ 0273; § 0636; § 0642); and

generating a first account statement in the first reporting format for the first account and a second account statement in the second reporting format for the second account, said first and second account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).

4. As per claim 2, Scolini et al. teaches the method of claim 1 described above. Scolini further teaches said grouping further comprising:

grouping the plurality of accounts based on a group designation selected by the user (§ 0219; § 1207).

5. As per claim 3, Scolini et al. teaches the method of claim 1 described above. Scolini further teaches said first and second account statements comprising at least one of a billing statement, a credit card statement, and a summary of investment holdings (§ 0920-0921).

6. As per claim 4, Scolini et al. teaches the method of claim 1 described above. Scolini et al. further teaches said account data retrieved from a financial database (§ 0797-0798).

7. As per claim 5, Scolini et al. teaches the method of claim 4 described above. Scolini et al. further teaches the method comprising:

receiving, from the user, a correction of the account data for the first account, wherein the correction is entered into the financial database (§ 0561; § 0856; § 1218).

8. As per claim 6, Scolini et al. teaches the method of claim 1 described above. Scolini et al. further teaches the method comprising:

receiving, from the user, a selection of the second reporting format for the first account (§ 0273; § 0636; § 0642; § 1221; § 1230); and

generating a third account statement in the second reporting format for the first account, said first, second and third account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).

9. As per claim 7, Scolini et al. teaches the method of claim 1 described above. Scolini et al. further teaches the method comprising:

receiving, from the user, a selection of a third reporting format from the plurality of reporting formats for the second account (§ 0273; § 0636; § 0642; § 1221; § 1230); and

generating a third account statement in the third reporting format for the second account, said first, second and third account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).

10. As per claim 8, Scolini et al. teaches the method of claim 1 described above. Scolini further teaches the method comprising: designating at least one of the first and second account statements for quality review (§ 0006; 1358; page 59).

11. As per claim 9, Scolini et al. teaches the method of claim 1 described above. Scolini et al. further teaches the method comprising comprising:

receiving, from the user, a parameter for generating the first and second account statements, the parameter including at least one of a time period for said account statements, a status of the accounts corresponding to said account statements, a corporate group responsible for the accounts, a number of copies of the account statements to be printed in the batch printing run (Figs. 16-18, 20-21), and a designation of whether the account statements are to undergo quality review (Figs. 21-22).

12. As per claim 10, Scolini et al. teaches the method of claim 1 described above. Scolini et al. further teaches the method comprising:

printing the batch printing run after said generating and a completion of a quality review for all designated account statements in the batch printing run (§ 1259).

13. As per claim 11, claim 11 is equivalent of claim 1. Please refer to claim 1 rejection.

14. As per claim 12, claim 12 is equivalent of claim 2. Please refer to claim 2 rejection.

15. As per claim 13, claim 13 is equivalent of claim 3. Please refer to claim 3 rejection.

16. As per claim 14, claim 14 is equivalent of claim 4. Please refer to claim 4 rejection.

17. As per claim 15, claim 15 is equivalent of claim 5. Please refer to claim 5 rejection.

As per claim 16, Scolini et al. teaches the method of claim 11 described above. Scolini et al. further teaches the method comprising: receiving, from the user, a selection of a second account from the plurality of accounts and a selection of the first reporting format for the second account (§ 0273; § 0636; § 0642); and generating a third account statement in the first reporting format for the second account, said first, second and third account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).

Art Unit: 3691

18. As per claim 17, Scolini et al. teaches the method of claim 1 described above. Scolini et al. further teaches the method comprising: receiving, from the user, a selection of a second account from the plurality of accounts and a selection of a third reporting format from the plurality of reporting formats for the second account (§ 0273; § 0636; § 0642); and generating a third account statement in the third reporting format for the second account, said first, second and third account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).

19. As per claim 18, claim 18 is equivalent of claim 8. Please refer to claim 8 rejection.

20. As per claim 19, claim 19 is equivalent of claim 9. Please refer to claim 9 rejection.

21. As per claim 20, claim 20 is equivalent of claim 10. Please refer to claim 10 rejection.

22. As per claim 21, Scolini et al. teaches a method for generating a batch of account statements. Scolini et al. further teaches the method comprising: grouping a plurality of accounts for which account statements are to be generated in a batch printing run (§ 1221; § 1230); receiving account data for each of the plurality of accounts, the account data for use in generating at least one account statement for each of the plurality of accounts (§ 0903; § 0912; § 0920); presenting, to a user, a plurality of reporting formats that are available to be assigned to at least one of the plurality of account statements, each of the plurality of reporting formats defining a printed layout of the account data for the account statements, receiving, from the user, a selection of an account from the plurality of accounts and a selection of a reporting format from the plurality of reporting formats for the account (§ 0616; § 0842; § 1350); receiving, from the user, a designation for quality review for an account statement generated for the account (§ 0006; § 1358; page 59; Figs. 21-22); and generating the account statement for the account in the

Art Unit: 3691

reporting format, wherein the batch printing run including the account statement can not be completed until the account statement is approved during the quality review (§ 0448; § 0273; § 0636; § 0642; § 1221; § 1230).

23. As per claim 22, Scolini et al. teaches the method of claim 21 described above. Scolini et al. further teaches wherein the account is automatically designated for quality review unless changed by the user (§ 0006; § 1358; page 59; Figs. 21-22).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Marissa Liu whose telephone number is 571-270-1370. The examiner can normally be reached on IFP.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alexander G. Kalinowski can be reached on 571-272-6711. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 10/820,071

Page 8

Art Unit: 3691



ALEXANDER KALINOWSKI
SUPERVISORY PATENT EXAMINER